Overview of IMI’s rules and procedures

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Funding Rules
Eligible for IMI funding (beneficiaries)

- Academic institutions
- Non-profit research organizations
- Small & medium-size enterprises
- Non-profit patient organizations
- Non-profit public bodies and intergovernmental organizations including specialized agencies

Carrying out activities in a Member State or a country associated to FP7
Funding principles

• Direct eligible costs -> personnel, consumables, equipment,...

• What’s new!
  • Actual or average personnel costs personnel costs
  • Flat rate for SME owners
Funding principles

- Indirect eligible costs → overheads
  - Flat-rate of 20% of direct eligible costs
  - actual indirect costs (NEW!)
Eligibility of costs

• **Eligible criteria**
  - e.g. Actually incurred by the participant for the sole purpose of the project, recorded, based on the usual management principles and accounting practices, etc.

• **Non-eligible costs**
  - e.g. VAT, exchange fees, debts, double-funding, etc...
Funding rates for beneficiaries

- Research activities
  - up to 75% of total eligible costs
- Other activities -> management, training, communication, ...
  - up to 100% of total eligible costs

NEW! No impact for beneficiaries changing their status
EFPIA in-kind contribution

- Actual direct and indirect costs or average FTE
- Including contribution from EFPIA affiliated entities

For research costs incurred in Europe

unless expressly foreseen in the Call (NEW!)
Improved application procedures

- Simpler application procedures
  - via the upgraded submission tool
- Faster time to grant
  - by streamlining procedures
Simplified accounting/reporting

- **(NEW!)** No certificate for yearly periodic financial reports when:
  - cost calculations based on a certified accounting methodologies within FP7
  - total participant’s amount <€375.000
- **(NEW!)** Yearly periodic reports either on July or December
IP issues in IMI projects
Guiding principles

Aligned with IMI objectives -> PPP, specific research needs and challenges:

• To achieve a broad participation
• To promote knowledge creation, disclosure and exploitation
• To achieve fair allocation of rights and reward innovation
• To provide flexibility considering the project objectives
Background vs. Foreground

Start of the project

\[ \rightarrow \text{implementation} \rightarrow \]

End of the project

// Sideground (outside – not needed) //</ref>

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access rights
Ownership: basic principles

- Background -> no impact
- Foreground -> owned by the generator(s)
- Possibility to freely license, assign or otherwise dispose of its ownership rights provided access rights to other partners are respected
- Possible transfer of ownership
Protection & Publications

• Protection not mandatory -> lies with the owner(s)

• What’s new!
  • Patent applications / publications: mention to IMI support & EFPIA in-kind contribution
  • Promotion of open access publication
Access Rights: basic principles

• Non-exclusive basis approach

• Guiding framework:
  • Recipients
  • Conditions
  • Purposes: Research Use vs. Exploitation

• Not affected by the termination of participation
More information
Useful documents

- IMI model Grant Agreement
  - Last revised on 11 November 2011
- IMI Financial Guidelines
  - Specific for IMI rules and projects
  - Available since January 2012
- IP Guidance Note
  - November 2010

www.imi.europa.eu/content/documents
Info material and publications

- Flyer and leaflet on IMI
- Fact sheets on IMI activities & projects
- Info and Slide set on 5th Call
  
  www.imi.europa.eu/content/info-material-and-publication

- Info Booth

  during the lunch and coffee breaks
Thank You