IMI Financial and IP rules

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Participation and Funding Rules
Stage 1: Who can participate?

- At least two independent legal entities eligible for funding carrying out work relevant to the IMI in a Member State or Associated Country

- Anyone else with the agreement of the IMI JU

Then, not all participating entities are eligible for funding
Who is eligible for IMI funding?

- Academic institutions
- Non-profit research organizations
- Small & medium-size enterprises
- Non-profit patient organizations
- Non-profit public bodies and intergovernmental organizations including specialized agencies

*carrying out activities in a Member State or a country associated to FP7*
Which activities at which rate?

- Research activities
  - up to 75% of total eligible costs
- Other activities -> management, training, communication, ...
  - up to 100% of total eligible costs

No impact for beneficiaries changing their status
Main categories of eligible costs

- Personnel
- Other direct costs
  (Equipment, Consumables, Travel...)
- Subcontracting
- Overheads
Eligible vs. Ineligible costs

- Actual
- Incurred by the participant during the project
- Determined according to usual accounting and management principles and practices
- Recorded in the accounts of the participant
- Incurred for work carried out in a Member State or associated country
- Incurred for the sole purpose of achieving the project objectives related to an activity accordingly described

- Identifiable indirect taxes including value added tax
- Duties
- Interest owed
- Provisions for possible future losses or charges
- Exchange losses, cost related to return on capital
- Costs declared or incurred, or reimbursed in respect of another Union project
- Debt and debt service charges, excessive or reckless expenditure
Intellectual Property Policy
Guiding principles

FLEXIBILITY

Support to EU industry

Incentive to participate

Freedom of Access

Dissemination of information

Compensation for IP
Project Agreement

• Contractual arrangement **between all participants** to set out their rights and obligations, especially governance, liability and IPR

• Shall comply with the IMI model Grant Agreement

• Mandatory before the signature of the grant agreement with the Executive Office

• **To be adapted to the specific needs of each IMI project!**
Background vs. Foreground

Start of the project

implementation

End of the project

Background

Foreground

// Sideground //

(Generated during the Project but outside the Project Objectives and not needed for implementation or Research Use)

possible access rights
Basics (1)

- Background remains the exclusive property of each participant
- Foreground are owned by the generator(s), unless otherwise agreed
- Protection lies with the owner(s)
- Mandatory mention of IMI support / EFPIA in-kind contribution in any dissemination support / patent application
Basics (2)

• Non-exclusive access rights, granted on written request unless otherwise agreed
• No sub-licences, unless otherwise agreed
• Not affected by the termination of participation
• Conditions to be agreed depending on the purpose:
  • Project completion
  • Research Use -> further developments
  • Exploitation -> commercialisation
More information
Useful documents

- **Rules for Participation** (part of the Call Documents)
- **IMI model Grant Agreement** (March 2013)
- **IMI Financial Guidelines** (June 2013)
- **IMI Reporting Templates and Guidelines** (July 2013)
- **IP Guidance Note** (November 2010)

www.imi.europa.eu/content/documents
Questions?

- Visit the IMI website: www.imi.europa.eu

- e-mail us:
  - IMI Helpdesk: infodesk@imi.europa.eu
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