



# Annual Budget of IMI2 JU for 2020

## Amendment no 3

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Extract from the Third Amended Annual Work Plan and Budget for  
2020  
(Sole annex to the Decision of the Governing Board of the Innovative  
Medicines Initiative 2 Joint Undertaking no. IMI2-GB-DEC-2020-20  
adopted on 19.06.2020)

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## Budget 2020

An overview of the 2020 budget per chapters is set out below.

| STATEMENT OF REVENUE |   |                               |                            |                               |                            |                               |                            |                               |                            |   |
|----------------------|---|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|---|
|                      | Heading Revenue   | Budget 2020.0                 |                            | Budget 2020 Amendment 1       |                            | Budget 2020 Amendment 3       |                            | Amended Budget 2020.3         |                            | Comments  |
| Chapter              |   | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) |   |
| 10                   | European Commission contribution (including EFTA contribution EUR 5 998 321 in commitment appropriations and EUR 4 457 662 in payment appropriations) | 261,547,957                   | 200,631,535                | -6,641,950                    | -4,893,959                 | -14,700                       |                            | 254,891,307                   | 195,737,576                | Commitment appropriations include EUR 5 576 241 for administrative costs and EUR 249 315 066 for operational costs. Payment appropriations include administrative costs of EUR 5 590 941 <sup>1</sup> and operational costs of EUR 190 146 635. |
| C2                   | Appropriations carried over   | 6,314,588                     |                            | 8,623,432                     | 6,612,304                  |                               |                            | 14,938,020                    | 6,612,304                  | The amount carried over from previous year  |
|                      | <b>EC contribution</b>  | <b>267,862,545</b>            | <b>200,631,535</b>         | <b>1,981,482</b>              | <b>1,718,345</b>           | <b>-14,700</b>                | <b>0</b>                   | <b>269,829,327</b>            | <b>202,349,880</b>         |   |

<sup>1</sup> European Commission contribution (including EFTA contribution): for administrative costs, on the E.U side, as year 2020 is the last year of H2020 Framework Programme, the full remaining amount is committed (EUR 27 867 587). In 2020, EUR 5 590 941 will be paid to IMI2 JU, the remaining amount will be paid over the following years. For administrative costs, the amount for 2020 in payment appropriations is 14.700 EUR higher than the commitment appropriations, to balance budget year 2014, where payment appropriations was 490.000 EUR and commitment appropriations was 504.700 EUR.

| STATEMENT OF REVENUE                         |   |                               |                            |                               |                            |                               |                            |                               |                            |  |
|--|---|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|--|
|  | Heading Revenue   | Budget 2020.0                 |                            | Budget 2020 Amendment 1       |                            | Budget 2020 Amendment 3       |                            | Amended Budget 2020.3         |                            | Comments   |
| Chapter                                      |   | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) |  |
| 20   | EFPIA contribution  | 5,576,241                     | 5,576,241                  | 14,700                        | 14,700                     | -14,700                       | -14,700                    | 5,576,241                     | 5,576,241                  | EFPIA contribution to IMI JU administrative costs.                                   |
| 21   | Subsidy from other Members other than the Union and the Associated Partners, or their constituent entities or their affiliated entities |                               | 1,000,000                  |                               |                            |                               |                            | 0                             | 1,000,000                  | Four EFPIA companies contribution to operational payment appropriations              |
| <b>EFPIA and other Members contributions</b> |   | <b>5,576,241</b>              | <b>6,576,241</b>           | <b>14,700</b>                 | <b>14,700</b>              | <b>-14,700</b>                | <b>-14,700</b>             | <b>5,576,241</b>              | <b>6,576,241</b>           |  |
| 30   | Associated Partners contributions   |                               | 1,500,000                  |                               |                            |                               |                            | 0                             | 1,500,000                  | Bill and Melinda Gates Foundation contribution to operational payment appropriations |
| <b>Associated Partners contributions</b>     |   |                               | <b>1,500,000</b>           | <b>0</b>                      | <b>0</b>                   |                               |                            | <b>0</b>                      | <b>1,500,000</b>           |  |
| <b>Total revenues</b>                        |   | <b>273,438,786</b>            | <b>208,707,776</b>         | <b>1,996,182</b>              | <b>1,733,045</b>           | <b>-29,400</b>                | <b>-14,700</b>             | <b>275,405,568</b>            | <b>210,426,121</b>         |  |

**STATEMENT OF EXPENDITURE**

|  | <b>Heading Title<br/>1</b>                              | <b>Budget 2020.0</b>                |                                  | <b>Budget 2020 Amendment 1</b>      |                                  | <b>Budget 2020 Amendment 3</b>      |                                  | <b>Amended Budget 2020.3</b>        |                                  | <b>Comments</b>   |
|--|---|-------------------------------------|----------------------------------|-------------------------------------|----------------------------------|-------------------------------------|----------------------------------|-------------------------------------|----------------------------------|---|
| <b>Title<br/>Chapter</b>                     |   | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) |   |
| <b>1</b>                                     | <b>Staff<br/>expenditure</b>                            |                                     |                                  |                                     |                                  |                                     |                                  |                                     |                                  |   |
| 11   | Staff in active<br>employment                           | 5,972,049                           | 5,972,049                        |                                     |                                  |                                     |                                  | 5,972,049                           | 5,972,049                        | Salaries and allowances of<br>current staff (TAs and CAs),<br>SNE, promotion and<br>indexation  |
| 12   | Staff<br>recruitments -<br>miscellaneous<br>expenditure | 19,538                              | 19,538                           |                                     |                                  |                                     |                                  | 19,538                              | 19,538                           | Miscellaneous expenditure<br>on staff recruitment:<br>publication of vacancy call,<br>medical visit to take up<br>duties, services provided by<br>the European Personnel<br>Selection Office (EPSO) |
| 13   | Mission<br>expenses                                     | 185,608                             | 185,608                          |                                     | 8,954                            |                                     |                                  | 185,608                             | 194,562                          | Mission expenses  |
| 14   | Socio-medical<br>structure                              | 207,100                             | 207,100                          |                                     | 51,874                           |                                     |                                  | 207,100                             | 258,974                          | Other staff costs: EU<br>school, medical check-up,<br>trainings   |
| 15   | External staff<br>services                              | 175,840                             | 175,840                          |                                     | 68,782                           |                                     |                                  | 175,840                             | 244,622                          | Interim staff expenses  |
| 17   | Representation  | 19,538                              | 19,538                           |                                     | 1,853                            |                                     |                                  | 19,538                              | 21,391                           | Representation expenses   |
| <b>Total Title 1 (Staff<br/>expenditure)</b> |   | <b>6,579,673</b>                    | <b>6,579,673</b>                 | <b>0</b>                            | <b>131,463</b>                   |                                     |                                  | <b>6,579,673</b>                    | <b>6,711,136</b>                 |   |

|                  | Heading Title<br>2                                      | Budget 2020.0                        |                                  | Budget 2020 Amendment 1             |                                  | Budget 2020 Amendment 3             |                                  | Amended Budget 2020.3               |                                  | Comments   |
|------------------|---|--------------------------------------|----------------------------------|-------------------------------------|----------------------------------|-------------------------------------|----------------------------------|-------------------------------------|----------------------------------|--|
| Title<br>Chapter |   | Commitment<br>Appropriations<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) | Commitment<br>Appropriation<br>(CA) | Payment<br>Appropriation<br>(PA) |  |
| 2                | <b>Infrastructure<br/>expenditure</b>                   |                                      |                                  |                                     |                                  |                                     |                                  |                                     |                                  |  |
| 20               | Office building<br>and<br>associated<br>costs           | 776,625                              | 776,625                          |                                     | 166,990                          |                                     |                                  | 776,625                             | 943,615                          | Building related<br>expenditure: rent,<br>works, charges,<br>maintenance,<br>repairs, security and<br>surveillance   |
| 21               | Information<br>technology<br>(hardware and<br>software) | 786,394                              | 786,394                          | 29,400                              | 688,656                          | -29,400                             | -14,700                          | 786,394                             | 1,460,350                        | IT purchases,<br>software licences,<br>software<br>development   |
| 22               | Office<br>equipment                                     | 154,348                              | 154,348                          |                                     |                                  |                                     |                                  | 154,348                             | 154,348                          | Purchases and<br>rental of office<br>equipment,<br>maintenance and<br>repair   |
| 23               | Current<br>administrative<br>expenditure                | 122,111                              | 122,111                          |                                     | 93,683                           |                                     |                                  | 122,111                             | 215,794                          | Office supply,<br>newspaper<br>subscriptions,<br>translation services,<br>bank charges and<br>miscellaneous office<br>expenditure                                      |
| 24               | Telecommunic<br>ation and<br>postal<br>expenses         | 78,151                               | 78,151                           |                                     | 28,378                           |                                     |                                  | 78,151                              | 106,529                          | Data<br>communication<br>such as telephone,<br>video and audio<br>conferences and<br>postal services   |
| 25               | Formal<br>meetings                                      | 156,302                              | 156,302                          |                                     | 50,761                           |                                     |                                  | 156,302                             | 207,063                          | Official meetings<br>such as States<br>Representative<br>Group, Scientific<br>committee,<br>Governing Board<br>and working groups<br>created by the<br>Governing Board |

|   | Heading Title 2  | Budget 2020.0                  |                            | Budget 2020 Amendment 1       |                            | Budget 2020 Amendment 3       |                            | Amended Budget 2020.3         |                            | Comments  |
|---|--|--------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|---|
| Title Chapter   |  | Commitment Appropriations (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) |   |
| 26  | Administrative expenditure in connection with operational activities | 388,801                        | 388,801                    |                               | 115,520                    |                               |                            | 388,801                       | 504,321                    | Administrative expenditure in connection with research activities and objectives of IMI (workshops, meetings and events targeting IMI projects) |
| 27  | External communication, information and publicity                    | 610,555                        | 610,555                    |                               | 2,720                      |                               |                            | 610,555                       | 613,275                    | External communication and events such as Info Days, stakeholder forums   |
| 28  | Service contracts  | 522,635                        | 522,635                    |                               | 517,072                    |                               |                            | 522,635                       | 1,039,707                  | Ex-post audits, studies, audits, accounting services  |
| 29  | Expert contracts and cost of evaluations                             | 976,887                        | 976,887                    |                               | 309,055                    |                               |                            | 976,887                       | 1,285,942                  | Costs linked to evaluations, expert contracts   |
| <b>Total Title 2 (Infrastructure expenditure)</b>     |  | <b>4,572,809</b>               | <b>4,572,809</b>           | <b>29,400</b>                 | <b>1,972,835</b>           | <b>-29,400</b>                | <b>-14,700</b>             | <b>4,572,809</b>              | <b>6,530,944</b>           |   |
| <b>Total Title 1 + 2 (administrative expenditure)</b> |  | <b>11,152,482</b>              | <b>11,152,482</b>          | <b>29,400</b>                 | <b>2,104,298</b>           | <b>-29,400</b>                | <b>-14,700</b>             | <b>11,152,482</b>             | <b>13,242,080</b>          |   |

|  | Heading                                     | Budget 2020.0                 |                            | Budget 2020 Amendment 1       |                            | Budget 2020 Amendment 3       |                            | Amended Budget 2020.3         |                            | Comments                              |
|--|---|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|-------------------------------|----------------------------|---------------------------------------|
| Title Chapter                                  |   | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) | Commitment Appropriation (CA) | Payment Appropriation (PA) |                                       |
| <b>3</b>                                       | <b>Operational expenditure</b>              |                               |                            |                               |                            |                               |                            |                               |                            |                                       |
| <b>30</b>                                      | Implementing the research agenda of IMI2 JU | 255,971,716                   | 197,555,294                | -6,656,650                    | -4,908,659                 |                               |                            | 249,315,066                   | 192,646,635                | Calls, Grant agreements - Payments    |
| <b>C2</b>                                      | Implementing the research agenda of IMI2 JU | 6,314,588                     |                            | 8,623,432                     | 4,537,406                  |                               |                            | 14,938,020                    | 4,537,406                  | Appropriations carried over from 2019 |
| <b>Total Title 3 (Operational expenditure)</b> |   | <b>262,286,304</b>            | <b>197,555,294</b>         | <b>1,966,782</b>              | <b>-371,253</b>            |                               |                            | <b>264,253,086</b>            | <b>197,184,041</b>         |                                       |
| <b>Total expenditure</b>                       |   | <b>273,438,786</b>            | <b>208,707,776</b>         | <b>1,996,182</b>              | <b>1,733,045</b>           | <b>-29,400</b>                | <b>-14,700</b>             | <b>275,405,568</b>            | <b>210,426,121</b>         |                                       |



## Staff Establishment Plan 2020

| Grade         | Establishment Plan 2019        |    |       |                                  | Year 2020       |         |                       |             |         |                    |                          |         |         |                         |    |       |
|---------------|--------------------------------|----|-------|----------------------------------|-----------------|---------|-----------------------|-------------|---------|--------------------|--------------------------|---------|---------|-------------------------|----|-------|
|               |                                |    |       |                                  | Posts evolution |         |                       |             |         |                    | Organisational evolution |         |         | Establishment Plan 2020 |    |       |
|               | Promotion / Career advancement |    |       | Turn-over (departures/ arrivals) |                 |         | New posts (per grade) |             |         | Requested (Budget) |                          |         |         |                         |    |       |
|               | PERM                           | TA | TOTAL | Posts filled on 31/12/20 19      | Offi- cials     | TA - LT | TA - ST               | Offi- cials | TA - LT | TA - ST            | Perm                     | TA - LT | TA - ST | PERM                    | TA | TOTAL |
| AD16          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AD15          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AD14          |                                | 1  | 1     | 1                                |                 |         |                       |             |         |                    |                          |         |         |                         | 1  | 1     |
| AD13          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AD12          |                                | 2  | 2     | 1                                |                 |         |                       |             |         |                    |                          |         |         |                         | 2  | 2     |
| AD11          |                                | 2  | 2     | 2                                |                 |         |                       |             |         |                    |                          |         |         |                         | 2  | 2     |
| AD10          |                                |    |       |                                  |                 | +1      |                       |             |         |                    |                          |         |         |                         | 1  | 1     |
| AD9           |                                | 6  | 6     | 5                                |                 | +1      |                       |             |         |                    |                          |         |         |                         | 7  | 7     |
| AD8           |                                | 7  | 7     | 4                                |                 | -1      |                       |             |         |                    |                          |         |         |                         | 6  | 6     |
| AD7           |                                | 3  | 3     | 6                                |                 | -1      |                       |             |         |                    |                          |         |         |                         | 2  | 2     |
| AD6           |                                | 4  | 4     | 4                                |                 | +4      |                       |             |         |                    |                          |         |         |                         | 8  | 8     |
| AD5           |                                | 8  | 8     | 9                                |                 | -4      |                       |             |         |                    |                          |         |         |                         | 4  | 4     |
| Total AD      |                                | 33 | 33    | 32                               |                 |         |                       |             |         |                    |                          |         |         |                         | 33 | 33    |
| AST11         |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST10         |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST9          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST8          |                                | 1  | 1     | 1                                |                 |         |                       |             |         |                    |                          |         |         |                         | 1  | 1     |
| AST7          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST6          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST5          |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST4          |                                | 4  | 4     | 2                                |                 |         |                       |             |         |                    |                          |         |         |                         | 4  | 4     |
| AST3          |                                |    |       | 2                                |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| AST2          |                                |    |       |                                  |                 | +1      |                       |             |         |                    |                          |         |         |                         | 1  | 1     |
| AST 1         |                                | 1  | 1     | 1                                |                 | -1      |                       |             |         |                    |                          |         |         |                         |    |       |
| Total AST     |                                | 6  | 6     | 6                                |                 |         |                       |             |         |                    |                          |         |         |                         | 6  | 6     |
| SC6           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| SC5           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| SC4           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| SC3           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| SC2           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| SC1           |                                |    |       |                                  |                 |         |                       |             |         |                    |                          |         |         |                         |    |       |
| Total SC      |                                | 0  | 0     | 0                                |                 |         |                       |             |         |                    |                          |         |         |                         | 0  | 0     |
| Overall Total |                                | 39 | 39    | 38                               |                 |         |                       |             |         |                    |                          |         |         |                         | 39 | 39    |

| <b>Contract Agents Grade</b> | <b>2019</b> | <b>Posts filled on 31/12/19</b> | <b>2020</b> |
|------------------------------|-------------|---------------------------------|-------------|
| <b>FG IV</b>                 | 2           | 2                               | 3           |
| <b>FG III</b>                | 12          | 11                              | 11          |
| <b>FG II</b>                 | 1           | 1                               | 1           |
| <b>FG I</b>                  | 0           | 0                               | 0           |
| <b>Total CA</b>              | <b>15</b>   | <b>14</b>                       | <b>15</b>   |

| <b>Seconded National Experts</b> | <b>2019</b> | <b>Posts filled on 31/12/19</b> | <b>2020</b> |
|----------------------------------|-------------|---------------------------------|-------------|
|                                  | 1           | 1                               | 2           |



